

# Reveal Your Faults: It's Only Fair!

Stefan Haar   César Rodríguez   Stefan Schwoon

LSV, ENS Cachan & CNRS, INRIA Saclay, France

DATE Workshop Cordoba, Nov 1st, 2013

# Fault Diagnosis

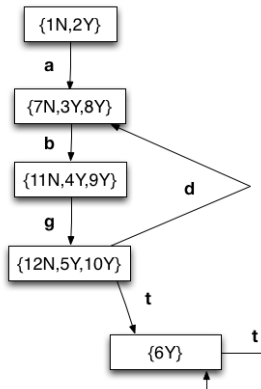
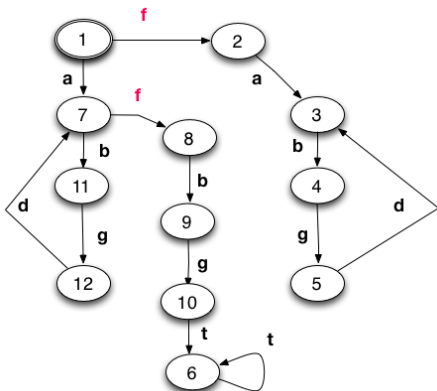
- Partially observable system: observable + unobservable actions
- Some unobservable actions are faults
- Given observation, all executions consistent with it contain a fault?



# Fault Diagnosis

- Partially observable system: observable + unobservable actions
- Some unobservable actions are faults
- Given observation, all executions consistent with it contain a fault?
- This talk:
  - Fault diagnosis in concurrent systems
  - Using weak fairness assumptions





## Diagnosis Problem

Do all runs that explain a given observation  $s \in \Sigma^*$  contain a fault?

# Diagnosis for Concurrent Systems

- ❶ Concurrent systems have **huge** number of states!
- ❷ **Global time** can be a hard assumption
  - Partially-ordered observations
- ❸ System has no unobservable cycle
  - Solved only for sequential observations
- ❹ Assuming **progress**, or weak-fairness, is reasonable

[BFHJ03]

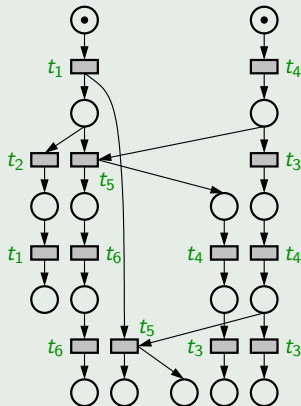
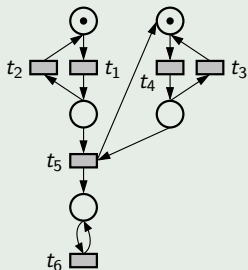
[EK12]

## Contribution

We build on [BFHJ03, EK12] to:

- Allow for unobservable cycles and partially-ordered observations
- **weak diagnosis**: diagnosis + weak fairness
- Characterize weak diagnosis with **reveals** relation
- SAT-based **algorithms** for deciding weak diagnosis

# Petri Net Unfoldings

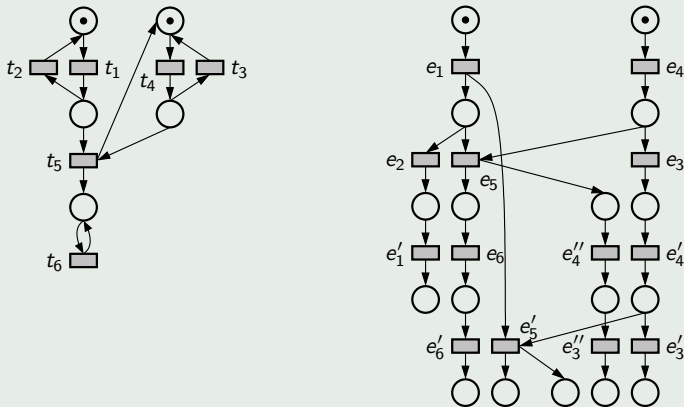


## Remarks

- $\mathcal{U}_N$  is acyclic, 1-safe
- Events and conditions
- Labelling is a homomorphism
- Infinite in general

# Structural Relations

The structure of an unfolding induces three relations over its events:



Causality:  $e < e'$  iff  $e'$  occurs  $\Rightarrow$   $e$  occurs before

Conflict:  $e \# e'$  iff  $e$  and  $e'$  never occur in the same run

Concurrency:  $e \parallel e'$  iff not  $e < e'$  and not  $e' < e$  and not  $e \# e'$





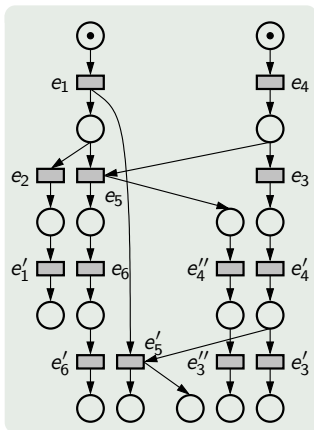
# Configurations and Weak Fairness

## Configuration

A set of events  $\mathcal{C}$  is a **configuration** iff:  
[...]

## Weakly Fair Firing Sequence

$e_1, e_2, \dots \in E^\omega$  is **weakly fair** iff it eventually fires one **spoiler** of each  $e$  enabled, where  
$$\text{spoilers}(e) := \{e' : \bullet e \cap \bullet e' \neq \emptyset\}$$



# Configurations and Weak Fairness

## Configuration

A set of events  $\mathcal{C}$  is a **configuration** iff:  
[...]

## Weakly Fair Firing Sequence

$e_1, e_2, \dots \in E^\omega$  is **weakly fair** iff it eventually fires one **spoiler** of each  $e$  enabled, where

$$\text{spoilers}(e) := \{e' : \bullet e \cap \bullet e' \neq \emptyset\}$$

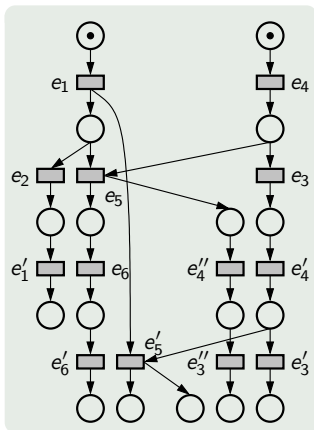
## Maximal Configuration

Run  $e_1, e_2, \dots$  weakly fair  
iff

$\{e_1, e_2, \dots\}$  **maximal configuration** w.r.t.  $\subseteq$   
iff

$\{e_1, e_2, \dots\}$  does not enable any event

- $\Omega$ : set of maximal configurations

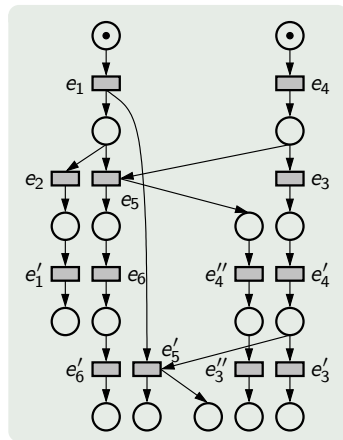


# Reveals Relation

## Definition

[Haa10]

Event  $e$  **reveals** event  $e'$ , written  $e \triangleright e'$ , iff for all  $\omega \in \Omega$ ,  
if  $e \in \omega$ , then  $e' \in \omega$ .



# Reveals Relation

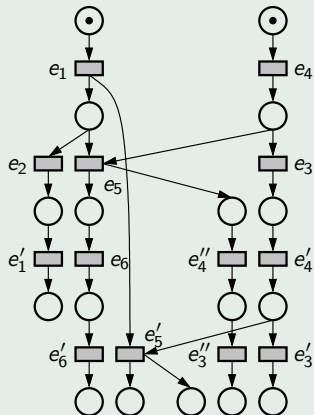
## Definition

[Haa10]

Event  $e$  **reveals** event  $e'$ , written  $e \triangleright e'$ , iff for all  $\omega \in \Omega$ ,  
if  $e \in \omega$ , then  $e' \in \omega$ .

## Example

- if  $e < e'$ , then  $e' \triangleright e$
- $e_1 \triangleright e_4$  (all  $\omega$  contain  $e_4$ )
- $e_3 \triangleright e'_4$  (by progress assumption)
- $e_2 \triangleright e_3$  ( $e_2$  disables  $e_5$  + progress)



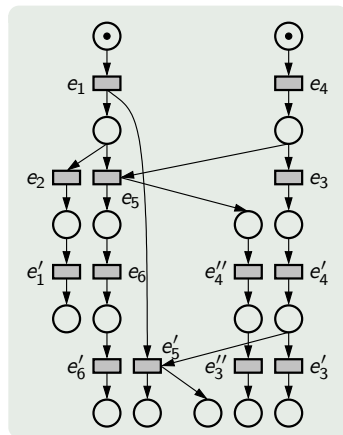
# Extended Reveals Relation

## Definition

[BCH11]

Let  $A, B$  be **sets** of events.  $A$  **extended-reveals**  $B$ , written  $A \rightarrow B$ , iff for all  $\omega \in \Omega$ ,

if  $A \subseteq \omega$ , then  $B \cap \omega \neq \emptyset$ .



## Extended Reveals Relation

## Definition

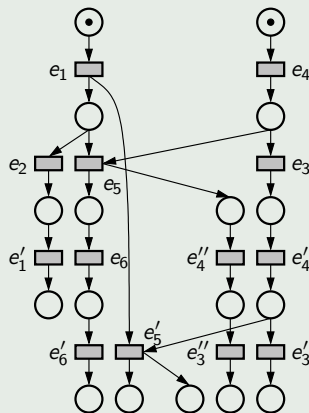
[BCH11]

Let  $A, B$  be sets of events.  $A$  extended-reveals  $B$ , written  $A \rightarrow B$ , iff for all  $\omega \in \Omega$ ,

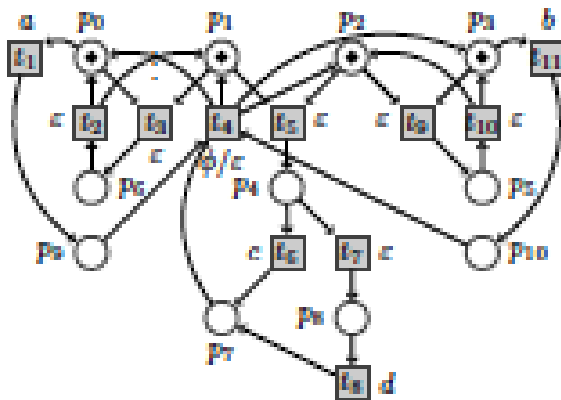
if  $A \subseteq \omega$ , then  $B \cap \omega \neq \emptyset$ .

## Example

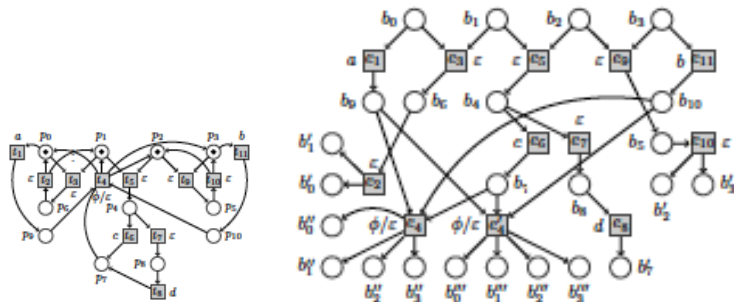
- if  $e \triangleright e'$ , then  $\{e\} \rightarrow \{e'\}$
- $\{e_1\} \rightarrow \{e_2, e_5\}$  (due to progress)



# Example

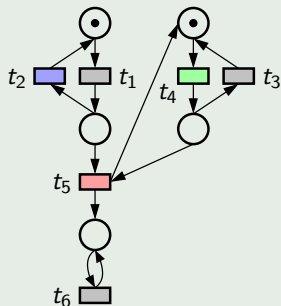


# Example

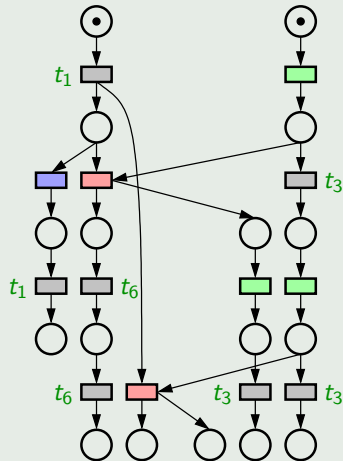
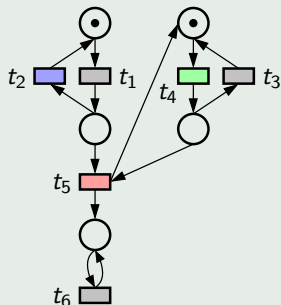




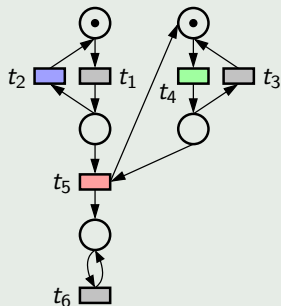
# Observation Setup



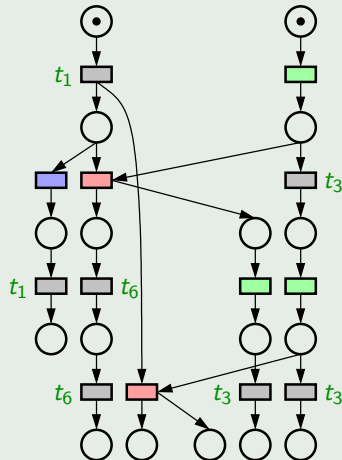
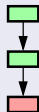
## Observation Setup



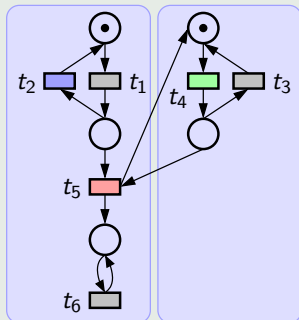
# Observation Setup



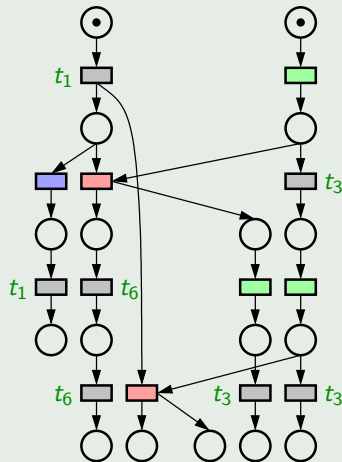
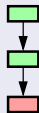
## 1. Sequential Observations



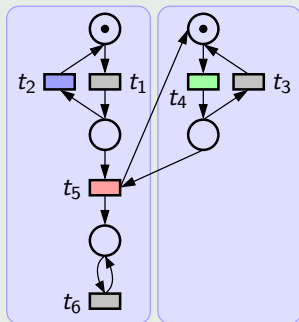
# Observation Setup



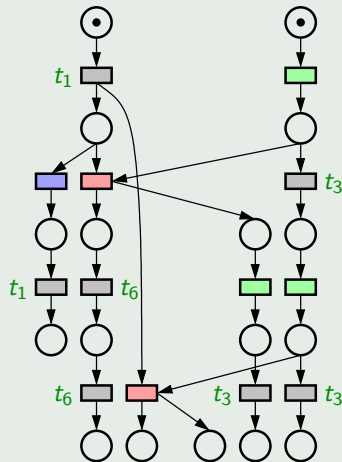
## 1. Sequential Observations



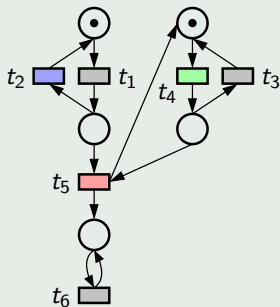
# Observation Setup



## 2. Ordered Observations



# Explaining Observations

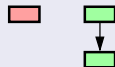
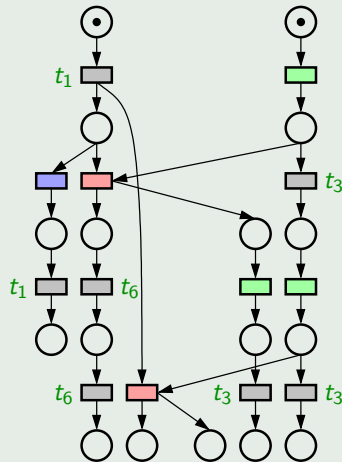


Given observation  $\alpha$ ,

$expl(\alpha)$

are the **configurations** that **explain**  $\alpha$ :

- Same visible projection
- No order contradiction



# Weak Diagnosis

## Definition

Observation  $\alpha$  **weakly diagnoses** a fault  $\phi$  iff  
for all  $\mathcal{C} \in \text{expl}(\alpha)$ ,  $\mathcal{C} \rightarrow E_\phi$ ,

i.e., any **maximal configuration** that **contains** an explanation  $\mathcal{C} \in \text{expl}(\alpha)$ ,  
also **contains** a fault.

## Violating Execution

Given  $\alpha$ , find  $\mathcal{C} \in \text{expl}(\alpha)$  and  $\omega \in \Omega$  such that:

- 1  $\mathcal{C} \subseteq \omega$
- 2  $\omega$  is fault-free

## Two Problems

- $\text{expl}(\alpha)$  may be infinite due to **unobservable loops**
- Need finite representation of  $\Omega$  that allows for checking set inclusion

## Verbose Configurations

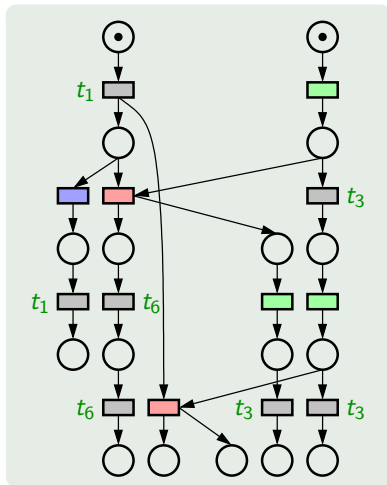
## Definition

Configuration  $\mathcal{C}$  is **verbose** if it contains events  $e, e'$  such that:

- 1  $e < e'$
- 2  $mark([e]) = mark([e'])$
- 3  $obs([e]) = obs([e'])$

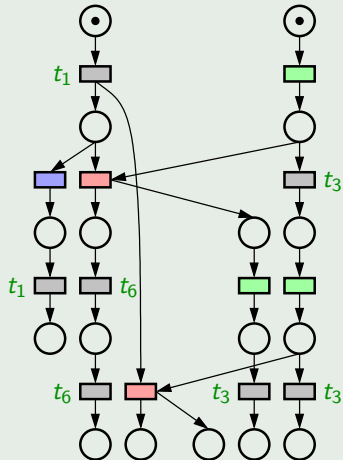
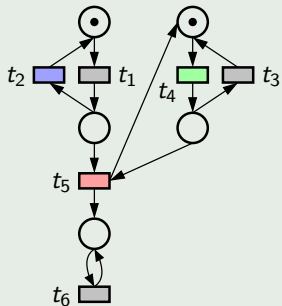
i.e., it contains an **unobservable loop**

- If  $\mathcal{C}$  not verbose, it is succinct





## Verbose Configurations



# Finitely Many Succinct Explanations

## Proposition

Any observation has finitely many succinct explanations

So they fit in a **finite** unfolding prefix!

- 1 Synchronize observation and net:  $\alpha \times N$
- 2 Construct unfolding prefix  $\mathcal{P}_{\alpha \times N}$  pruning with:

## Definition

Event  $e$  **cutoff** iff there is  $e'$  such that

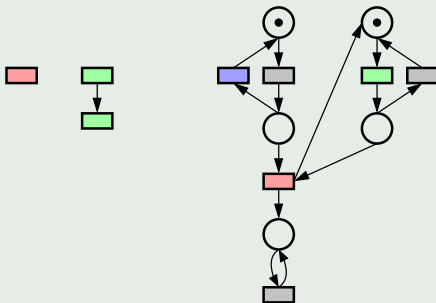
- $e' < e$
- $\text{mark}([e']) = \text{mark}([e])$

- 3  $\mathcal{C}$  explanation iff  $\text{mark}(\mathcal{C})$  covers maximal places of  $\alpha$

# Synchronization Example

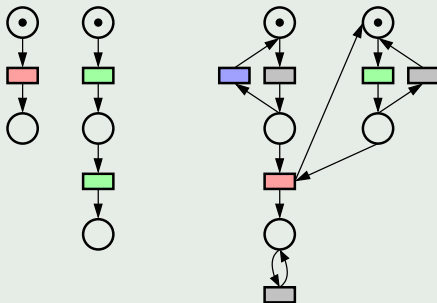
## Example

$\alpha$        $\times$        $N$



# Synchronization Example

## Example

 $\alpha$  $\times$  $N$ 

# Characterizing Maximal Configurations

## Violating Execution

Given  $\alpha$ , find  $\mathcal{C} \in \text{expl}(\alpha)$  and  $\omega \in \Omega$  such that:

- 1  $\mathcal{C} \subseteq \omega$
- 2  $\omega$  is fault-free

# Characterizing Maximal Configurations

## Violating Execution

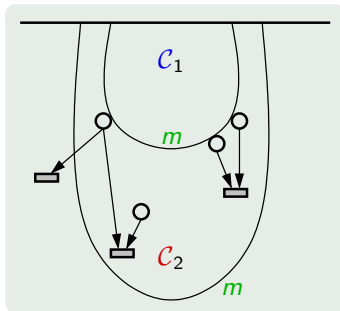
Given  $\alpha$ , find  $\mathcal{C} \in \text{expl}(\alpha)$  and  $\omega \in \Omega$  such that:

- 1  $\mathcal{C} \subseteq \omega$
- 2  $\omega$  is fault-free

## Lemma

There is  $\omega$  weakly-fair and fault-free iff there are configurations  $\mathcal{C}_1, \mathcal{C}_2$  such that:

- 1  $\mathcal{C}_1 \subseteq \mathcal{C}_2$
- 2  $\text{mark}(\mathcal{C}_1) = \text{mark}(\mathcal{C}_2)$
- 3  $\mathcal{C}_1$  enables  $e \Rightarrow \text{spoilers}(e) \cap \mathcal{C}_2 \neq \emptyset$
- 4  $\mathcal{C}_2$  is fault-free



**Problem:** not quite yet a solution:  $\mathcal{C}_2$  can be unboundedly large!

# Finite Characterization of Maximal Configurations

**Solution:** define unfolding prefixes  $\mathcal{P}^1, \mathcal{P}^2$  such that

①  $\mathcal{P}^1 \subseteq \mathcal{P}^2$

②  $\mathcal{C}_1, \mathcal{C}_2$  exist iff  $\mathcal{P}^1, \mathcal{P}^2$  contain *small copies*  $\hat{\mathcal{C}}_1, \hat{\mathcal{C}}_2$

# Finite Characterization of Maximal Configurations

**Solution:** define unfolding prefixes  $\mathcal{P}^1, \mathcal{P}^2$  such that

- 1  $\mathcal{P}^1 \subseteq \mathcal{P}^2$
- 2  $\mathcal{C}_1, \mathcal{C}_2$  exist iff  $\mathcal{P}^1, \mathcal{P}^2$  contain *small copies*  $\hat{\mathcal{C}}_1, \hat{\mathcal{C}}_2$

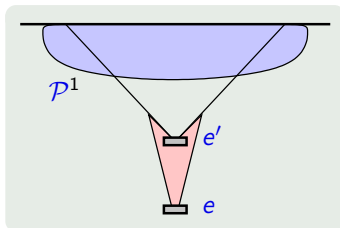
## Definition

- $\mathcal{P}^1$ : any marking-complete unfolding prefix (McMillan's algorithm)
- $\mathcal{P}^2$ : largest unfolding prefix free of **sp-cutoffs**:

## Definition

Event  $e$  **sp-cutoff** iff there is  $e'$  such that:

- 1  $e' < e$
- 2  $\text{mark}([e']) = \text{mark}([e])$
- 3  $\mathcal{P}^1 \cap \bullet D = \emptyset$ , where  $D := [e] \setminus [e']$





# Putting All Together

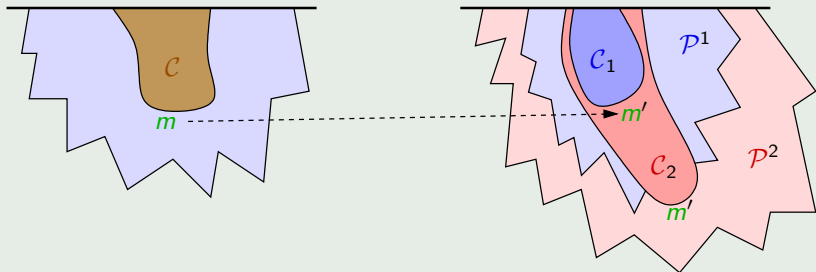
## Theorem

$\alpha$  does **not** diagnose  $\phi$  iff there is configurations

$$\mathcal{C} \in \mathcal{P}_{\alpha \times N}, \quad \mathcal{C}_1 \in \mathcal{P}^1, \quad \mathcal{C}_2 \in \mathcal{P}^2$$

such that

- ①  $\mathcal{C}$  marks maximal places
- ②  $\text{mark}(\mathcal{C}) \rightsquigarrow \text{mark}(\mathcal{C}_1)$
- ③  $\mathcal{C}_1 \subseteq \mathcal{C}_2$
- ④  $\mathcal{C}_2$  is fault-free
- ⑤  $\text{mark}(\mathcal{C}_1) = \text{mark}(\mathcal{C}_2)$
- ⑥  $\mathcal{C}_1$  enables  $e \Rightarrow \text{spoilers}(e) \cap \mathcal{C}_2 \neq \emptyset$



# Summary

- **Weak diagnosis**: diagnosis + weak fairness
- Unfolding-based method for solving weak diagnosis
- SAT-based algorithms (in the paper)

## Future work

- Bounds on necessary unfolding prefixes
- Review decision procedures for **weak diagnosability**
- Implementation

# Summary

- **Weak diagnosis**: diagnosis + weak fairness
- Unfolding-based method for solving weak diagnosis
- SAT-based algorithms (in the paper)

## Future work

- Bounds on necessary unfolding prefixes
- Review decision procedures for **weak diagnosability**
- Implementation

Thank you for your attention

# References I



Sandie Balaguer, Thomas Chatain, and Stefan Haar.

Building tight occurrence nets from reveals relations.

In *Proc. ACSD*, pages 44–53. IEEE, 2011.



Albert Benveniste, Éric Fabre, Stefan Haar, and Claude Jard.

Diagnosis of asynchronous discrete event systems: A net unfolding approach.

*IEEE Transactions on Automatic Control*, 48(5):714–727, May 2003.



Javier Esparza and Christian Kern.

Reactive and proactive diagnosis of distributed systems using net unfoldings.

In *Proc. ACSD*, pages 154–163, 2012.



Stefan Haar.

Types of asynchronous diagnosability and the Reveals-relation in occurrence nets.

*IEEE Transactions on Automatic Control*, 55(10):2310–2320, October 2010.



Meera Sampath, Raja Sengupata, Stéphane Lafortune, Kasim Sinnamohideen, and Demosthenis Teneketzis.

Diagnosability of discrete-event systems.

*IEEE Transactions on Automatic Control*, 40(9):1555–1575, 1995.