KOSMOS workshop

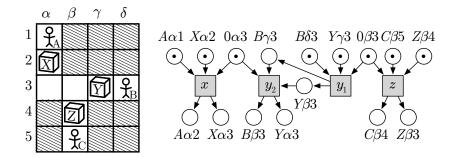
Concurrency Issues

MExICo Team

# INRIA and LSV, CNRS and ENS Cachan

November 27, 2013

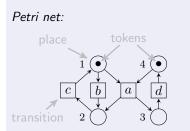
## Some actions reveal one another

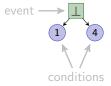


z prevents  $y_1$  ... and therefore makes x inevitable:

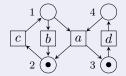
z reveals  $x : z \triangleright x$ 

# Petri nets, Processes, Branching Processes and Unfoldings



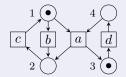


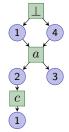
Petri net:



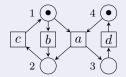


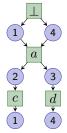
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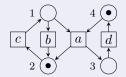


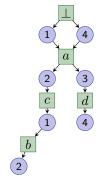
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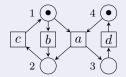


Petri net:





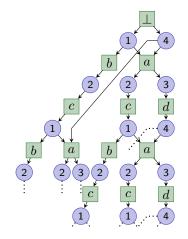
Petri net:



*Process:* representation of a non-sequential run as a partial order.

*Branching process:* representation of several runs.

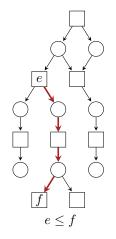
Unfolding: maximal branching process.



## Nets and Structural Relations

The structure of a net induces three relations over its nodes:

Causality  $\leq e \leq f \quad \stackrel{\text{def}}{\leftarrow} e F^* f \text{ (directed path from } e \text{ to } f \text{)}$ 

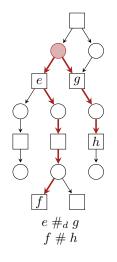


#### PO logics

# Nets and Structural Relations

The structure of a net induces three relations over its nodes:

	Causality $\leq$				
	$e \leq f$	$\stackrel{\mathit{def}}{\Leftrightarrow}$	$e \ F^* \ f$ (directed path from $e$ to $f$ )		
Conflict #					
	$e \ \#_d g$	$\stackrel{\mathit{def}}{\Longleftrightarrow}$	$e\neq g\wedge {}^\bullet e\cap {}^\bullet g\neq \emptyset$		
	$f \ \# h$	$\stackrel{def}{\Leftrightarrow}$	$\exists e \le f, g \le h : e \ \#_d \ g$		



#### PO logics

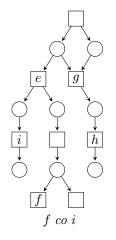
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### Concurrency co

$$\begin{array}{ccc} f \ co \ i \ \Leftrightarrow & \neg(i \ \# \ f) \land \neg(i \le f) \land \neg(f \le i) \end{array}$$

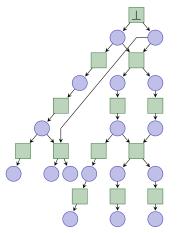


## Occurrence Nets [Nielsen, Plotkin, Winskel, 1980]

## Definition (Occurrence net)

An occurrence net (ON) is a net (B, E, F) where B and E are the sets of conditions and events, and which satisfies:

- no self-conflict,
- 2 acyclicity
- **③** finite causal pasts:  $\forall e \in E$ ,  $[e] \stackrel{def}{=} \{e': e' \le e\}$  is finite.
- Ino backward branching for conditions,
- ⊥ ∈ E is the only ≤-minimal node (event ⊥ creates the initial conditions).



PO logics

#### Conclusion

# Configurations and Runs

## Definitions (Configurations and Runs of an ON)

A configuration is a set  $\boldsymbol{\omega}$  of events which is

- causally closed:  $\forall e \in \omega, \lceil e \rceil \subseteq \omega$ ,
- conflict free:  $\forall e \in \omega, \#[e] \cap \omega = \emptyset$ .

A run is *maximal* iff it is maximal w.r.t.  $\subseteq$ .

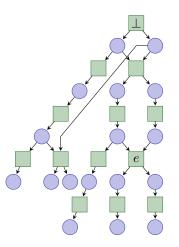
#### Notation

 $\Omega$  denotes the set of maximal runs.

### Interpretation

 $\Omega$  gives exactly the weakly fair (nonsequential) executions:

• No transition remains enabled for ever (i.e. without firing, or being disabled by a conflicting transition): *progress assumption* 



PO logics

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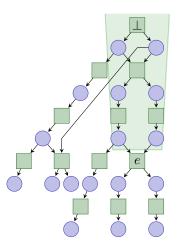
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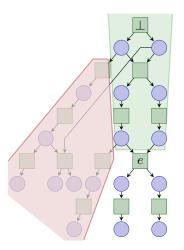
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### Structural relations vs logical relations

• The structural relations imply *logical dependencies* between event occurrences:

• 
$$a \leq b \Rightarrow (\forall \omega \in \Omega, b \in \omega \Rightarrow a \in \omega),$$

- $a \ \# b \Leftrightarrow \forall \omega \in \Omega, \{a, b\} \not\subseteq \omega$ ,
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#### Here

- Formalization of these logical dependencies in a *relational framework* with *reveals* relations ▷ and →
- Reduction of Occurrence nets by contracting facets
- Concurrency vs Independence : tight nets

# Reveals Relation [Haar, 2010]

### Definition (Reveals relation ▷)

Event e reveals event f, written  $e \triangleright f$ , iff  $\forall \omega \in \Omega, (e \in \omega \Rightarrow f \in \omega)$ .

### Causal closure

 $\forall x,y \in E, \, x \leq y \Rightarrow y \triangleright x$ 

```
d \triangleright a,
```

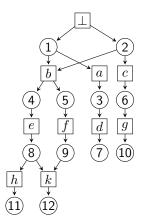
 $h \triangleright \bot$ ,

#### $a \triangleright d$

because of the progress assumption,

#### $a \triangleright c$

because for any maximal run  $\omega$ ,  $a \in \omega \Rightarrow b \notin \omega$  $\Rightarrow c \in \omega$  (progress assumption)



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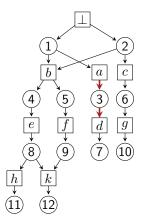
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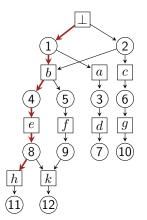
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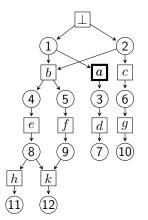
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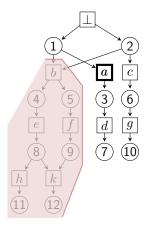
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#### Lemma

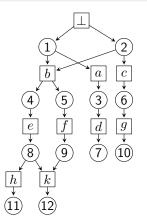
Lemma: Characterization of  $\Omega$  by # A set of events  $\omega$  is a maximal run iff

 $\forall a \in E, a \notin \omega \Leftrightarrow \#[a] \cap \omega \neq \emptyset$ 

where  $\#[e] \stackrel{\text{\tiny def}}{=} \{f \in E \mid f \# e\}.$ 

### Characterization of $\triangleright$ by #

 $\forall e, f \in E, e \triangleright f \Leftrightarrow \#[f] \subseteq \#[e]$ i.e. any event that could prevent the occurrence of f is prevented by the occurrence of e.



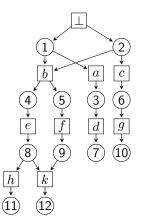
# **Reveals Relation**

### Definition (Reveals relation ▷)

Event e reveals event f, written  $e \triangleright f$ , iff  $\forall \omega \in \Omega, (e \in \omega \Rightarrow f \in \omega)$ .

### Properties

- ▷ is reflexive and transitive, but it is not antisymmetric in general.
- The conflict relation (#) is inherited under  $\triangleright^{-1}$ :  $g \triangleright a \land a \# b \Rightarrow g \# b$ .



# Computing ▷: Finding witnesses [HKS 2011]

### Definition

Let  $U_M$  be the first complete finite prefix of (N, M), and  $K_M$ the height of  $U_M$ ; then set

$$K := \max_{M \in \mathcal{R}(M_0)} K_M.$$

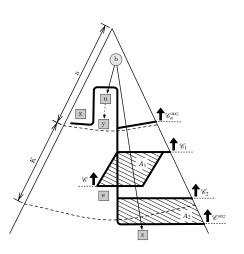
## Theorem [HKS 2011]

For any two events x, y such that  $\neg(x \triangleright y)$ , there exists an event z such that

$$z \# y$$

$$\neg(z \# x)$$

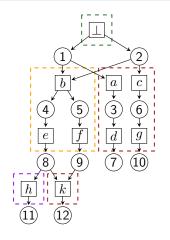
 $\mathbf{h}(z) \leq K + \max(\mathbf{h}(x), \mathbf{h}(y))$ 



# Facets Abstraction [H2010, BCH2011]

## Definition (Facets)

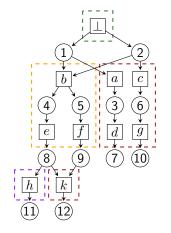
A facet of an ON is an equivalence class of  $\sim = \triangleright \cap \triangleright^{-1}$ .



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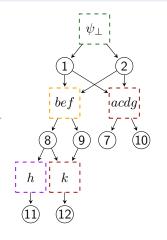
A facet of an ON is an equivalence class of  $\sim = \triangleright \cap \triangleright^{-1}$ .



facets can be contracted into events

## Definition (Reduced ON)

A reduced ON is an ON  $(B, \Psi, F)$  such that  $\forall \psi_1, \psi_2 \in \Psi$ ,  $\psi_1 \sim \psi_2 \Leftrightarrow \psi_1 = \psi_2$ .



# Binary Relations on $\Psi$ and Reduced Nets [H2010,BCH2011]

The causality ( $\leq$ ), conflict (#), concurrency (*co*) and reveals ( $\triangleright$ ) relations naturally extend to  $\Psi$ .

#### Lemma

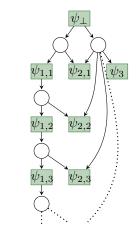
Lemma  $1 \triangleright$  is a partial order on  $\Psi$  ( $\triangleright$  is antisymmetric by definition of a reduced ON).

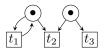
### $(\Psi, \triangleright^{-1}, \#)$ is an event structure

- $\triangleright^{-1}$  is a partial order,  $\checkmark$
- The set  $\{\psi' \mid \psi \triangleright \psi'\}$  is not always finite, X
- # is inherited under  $\triangleright^{-1}$ .

## Infinite Revealed Set [BCH2011]

For a facet  $\psi$ , the set  $\{\psi' \mid \psi \triangleright \psi'\}$  may not be finite.





 $\psi_3 \triangleright \psi_{1,i}, \, \forall i \in \mathbb{N}^*$ 

# Binary Relations on $\Psi$ [BCH2011]

The causality ( $\leq$ ), conflict (#), concurrency (*co*) and reveals ( $\triangleright$ ) relations naturally extend to  $\Psi$ .

#### Lemma

Lemma 1  $\triangleright$  is a partial order on  $\Psi$  ( $\triangleright$  is antisymmetric by definition of a reduced ON).

#### Lemma

Lemma 2 For any finite reduced ON  $(B, \Psi, F)$ ,  $(\Psi, \triangleright^{-1}, \#)$  is a prime event structure since:

- $\triangleright^{-1}$  is a partial order,
- $\forall \psi \in \Psi$ , the set  $\{\psi' \mid \psi \triangleright \psi'\}$  is finite,
- # is inherited under  $\triangleright^{-1}$ .

#### PO logics

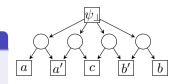
# Concurrency vs Logical Independency [BCH2011]

• #,  $\leq$  and co are mutually exclusive.

### Structural relations and logical dependencies

- $a \ \# \ b \Leftrightarrow$  for any run  $\omega$ ,  $\{a, b\} \not\subseteq \omega$ .
- $a \leq b \Rightarrow$  for any run  $\omega$ ,  $b \in \omega \Rightarrow a \in \omega$   $(b \triangleright a)$ ,
- Does *a co b* mean *a* and *b* are logically independent ?

No, they can be related by  $\triangleright$ .



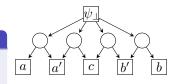
 $c \ co \ a \ and \ c \triangleright a$  $a \ co \ b \ and \ a \ ind \ b.$ 

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 $c \ co \ a \ and \ c \triangleright a$  $a \ co \ b \ and \ a \ ind \ b.$ 

### Independency relation *ind*

$$\begin{array}{ll} \forall a,b \in \Psi, \ a \ ind \ b \\ \Leftrightarrow \\ a \ co \ b \land \neg(b \triangleright a) \land \neg(a \triangleright b) \\ \Leftrightarrow \\ a \ co \ b \land \neg(b \triangleright a) \land \neg(a \triangleright b) \end{array}$$

• #,  $\triangleright$  and ind are also mutually exclusive.

# Minimal ▷ and # [BCH2011]

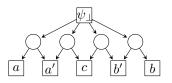
### Immediate conflict relation $\#_i$

$$\begin{array}{c} a \ \#_i \ b \ \stackrel{\text{\tiny def}}{\Longrightarrow} \ a \ \# \ b \land \nexists \ c : \\ (c \neq a \land a \triangleright c \land c \ \# \ b) \lor \\ (c \neq b \land b \triangleright c \land c \ \# \ a) \end{array}$$

### Immediate reveals relation $\triangleright_i$

Transitive reduction of  $\triangleright$ : let  $a \triangleright_i b \stackrel{\text{def}}{\Leftrightarrow}$  iff

- $a \triangleright b$  and  $a \neq b$
- for all  $c: a \triangleright c \triangleright b \Rightarrow c \in \{a, b\}$



$$\begin{split} \Omega &= \left\{\{\psi_{\perp}, a, b, c\}, \{\psi_{\perp}, a, b'\}, \\ \{\psi_{\perp}, a', b\}, \{\psi_{\perp}, a', b'\}\right\} \end{split}$$

 $\neg (c \ \#_i \ a') \text{ since } c \triangleright a \text{ and } a \ \# \ a' \\ \neg (c \triangleright_i \ \psi_{\perp}) \text{ since } c \triangleright a \text{ and } a \triangleright \psi_{\perp}$ 

### Remarks

- $\triangleright = \triangleright_i^*$ ,
- $\# = (\triangleright_i^{-1})^* \circ \#_i \circ \triangleright_i^*$  (>-inheritance of #),
- Therefore  $\triangleright_i$  and  $\#_i$  define  $\Omega$  (characterization of  $\Omega$  by #).

# "Tightening" a Reduced ON [BCH2011]

### Tight n<u>et</u>

A tight net is a reduced ON  $(B, \Psi, F)$  such that  $\forall a, b \in \Psi$ ,  $a \triangleright b \Leftrightarrow b \leq a$ .

### Violations of tightness

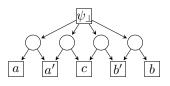
 $a,b\in \Psi$  such that

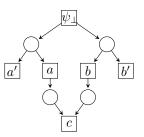
- $\bullet$  a co b
- $a \triangleright b$

## Net Surgery

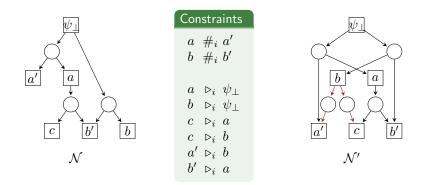
Add a condition from b to a for all a,b such that

- $\bullet$  a co b
- $a \triangleright_i b$



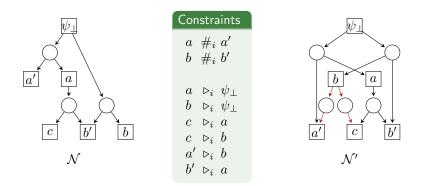


# Another Example for Tightening [BCH2011]



$$\Omega = \{\{\psi_{\perp}, a, b, c\}, \{\psi_{\perp}, a, b'\}, \{\psi_{\perp}, a', b\}\}$$

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A tight net is a reduced ON  $(B, \Psi, F)$  such that  $\forall a, b \in \Psi$ ,  $a \triangleright b \Leftrightarrow b \leq a$ .

# Reveal Your Faults: Partially observation and Diagnosis





### Assumptions

- Possible behaviours well-known
- Current execution only partially visible

### Goal:

 Determine, from partial observations, whether a certain event (fault) has happened in the past.

# Note on Active Diagnosis



- A system with an *ambiguous* pair of runs is not diagnosable
- In that case: Compute control
  - based on past observations
  - so that faults manifest themselves through observations

## Our Results

- $\bullet$  Memory Consumption down from  $2^{2^{O(n)}}$  to
  - $2^{O(n^2)}$  with minimal diagnosis delay
  - $2^{O(n)}$  with twice the minimal delay
- Computational complexity shown optimal

# Sequential Semantics Misses a Point

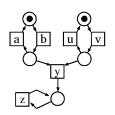
Suppose that

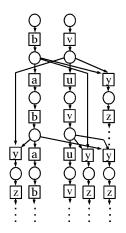
•  $T_O = \{b, y\}$ •  $\Phi = \{v\}$ 

v will be correctly diagnosed if y occurs. What if not ? If

 $bbbbbb \dots$ 

is observed, what do we infer about  $\boldsymbol{v}$  ?



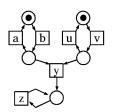


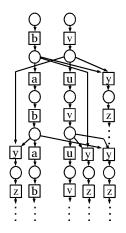
# It's about weak fairness !

Still with

- $T_O = \{b, y\}$
- $\Phi = \{v\}$

the only way for the system to do  $b^{\omega}$  is to be *unfair* to v: always enabled, never fired *HERE: diagnosis under weak fairness* 





# Extended Reveals+Diagnosis

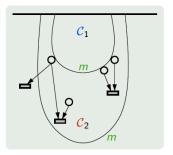
### Application

- $A \rightarrow B$  iff  $\rho$ 's containing A must hit B
- Used for weak diagnosis: Given an observation pattern  $\alpha$ , are all weakly fair extensions of explanations of  $\alpha$  faulty ?

#### Lemma

There is  $\omega$  weakly-fair and fault-free iff there are configurations  $C_1, C_2$  such that:

- 2  $mark(\mathcal{C}_1) = mark(\mathcal{C}_2)$
- $C_2$  is fault-free



# Observe and Derive: perspectives

### Temporal vs. logical view of event structures

- Causality ( $\leq$ ), conflict (#), concurrency (*co*) vs
- reveals (>) , # and ind

### Extended reveals relation $\rightarrow$

$$A \twoheadrightarrow B \stackrel{{}_{def}}{\Leftrightarrow} \forall \omega \in \Omega : \ [A \subseteq \omega \ \Rightarrow \ B \cap \omega \neq \emptyset]$$

- Allows to express all boolean properties of  $\Omega \rightarrow \text{Logic ERL} [\text{BCH2011}]$
- Exploit in diagnosis (ACSD 2013)

### To Do

- Improve Diagnosis; exploit in verification, e.g. diagnosability
- Probabilities
- develop a measure of "freedom of choice"
- Extend to contextual, timed, probabilistic models ...
- Connect with  $logics \rightarrow$  coming up