

# AN EXPECTED POLYNOMIAL TIME ALGORITHM FOR COLORING 2-COLORABLE 3-GRAPHS

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ABSTRACT. We present an algorithm that for 2-colorable 3-uniform hypergraphs, finds a 2-coloring in average running time  $O(n^5 \log^2 n)$ .

## 1. INTRODUCTION

One of the classical problems in complexity theory is to decide whether a given  $k$ -uniform hypergraph is 2-colorable (or *bipartite*). While for bipartite graphs a 2-coloring can be found in linear time, it was shown by Lovász [12] that the problem becomes *NP*-complete for  $k$ -uniform hypergraphs and  $k \geq 3$ . Moreover, Guruswami et al. [6] proved that it is *NP*-hard to color bipartite,  $k$ -uniform hypergraphs with a constant number of colors for  $k \geq 4$ . It was also shown by Dinur et al. [3] that this problem remains inapproximable by a constant for 3-uniform hypergraphs. On the other hand, recently, Krivelevich et al. [11] found a polynomial time algorithm which colors 3-uniform bipartite hypergraphs using  $O(n^{1/5} \log^c n)$  colors. Another positive result is due to Chen and Frieze [1]. Those authors studied colorings of so-called  $\alpha$ -dense bipartite 3-uniform hypergraphs, where a 3-uniform hypergraph is  $\alpha$ -dense if the joint degree of any two vertices is at least  $\alpha n$ . A randomized algorithm that can color  $H$  in  $n^{O(1/\alpha)}$  time was found [1].

The purpose of this paper is to present an algorithm that colors a hypergraph chosen uniformly at random from the family of all labelled, 3-uniform, bipartite hypergraphs on  $n$  vertices in  $O(n^5 \log^2 n)$  expected time. Indeed, we prove a slightly more general result for the class of Fano-free hypergraphs, see Theorem 1. Before we state it precisely we review related results for graphs.

In 1984 Wilf [18] noted, using a simple counting argument, that one can decide in constant expected time, whether a graph is  $\ell$ -colorable. Few years later Turner [17] gave an  $O(|V| + |E| \log \ell)$  algorithm for optimally coloring almost all  $\ell$ -colorable graphs. This result was further expanded by Dyer and Frieze [4] who developed an algorithm which colored every  $\ell$ -colorable graph on  $n$  vertices properly (with  $\ell$  colors) in  $O(n^2)$  expected time.

Another line of research concerns the study of monotone properties of the type  $\text{Forb}(n, L)$  for a fixed graph  $L$ , i.e., the family of all labeled graphs on  $n$  vertices, which contain no copy of  $L$  as a (not necessarily induced) subgraph. Prömel and Steger [15] gave an algorithm that colors properly (regardless of its value  $\chi(G)$ ) a randomly chosen member  $G$  from  $\text{Forb}(n, K_{\ell+1})$ , i.e., the class of all labeled  $K_{\ell+1}$ -free graphs, in  $O(n^2)$  expected time. This is clearly a generalization of the result

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of Dyer and Frieze in the light of the well known result of Kolaitis et al. [10] that almost all  $K_{\ell+1}$ -free graphs are  $\ell$ -colorable.

In [13] we studied  $\text{Forb}(n, F)$ , where  $F$  is the 3-uniform hypergraph of the Fano plane, which is the unique triple system with 7 hyperedges on 7 vertices where every pair of vertices is contained in precisely one hyperedge. It was shown independently by Füredi and Simonovits [5] and Keevash and Sudakov [8], that for large  $n$  the unique extremal Fano-free hypergraph is the balanced, complete, bipartite hypergraph  $B_n = (U \dot{\cup} W, E_{B_n})$ , where  $|U| = \lfloor n/2 \rfloor$ ,  $|W| = \lceil n/2 \rceil$  and  $E_{B_n}$  consists of all hyperedges with at least one vertex in  $U$  and one vertex in  $W$ . The hypergraph of the Fano plane  $F$  is not bipartite, i.e., for every vertex partition  $X \dot{\cup} Y = V(F)$  into two classes there exists an edge of  $F$  which is either contained in  $X$  or in  $Y$ . Consequently,  $\text{Forb}(n, F)$  contains any bipartite 3-uniform hypergraph on  $n$  vertices. However, deleting any hyperedge from  $F$  results in a bipartite hypergraph.

Let  $\mathcal{B}_n$  be the class of all labeled bipartite hypergraphs on  $n$  vertices. It was shown in [13] that

$$|\text{Forb}(n, F)| \leq (1 + 2^{-\Omega(n^2)}) |\mathcal{B}_n|. \quad (1)$$

Our main result here states that one can color a 3-uniform hypergraph chosen uniformly at random from  $\text{Forb}(n, F)$  in polynomial expected time.

**Theorem 1.** *There is an algorithm with average running time  $O(n^5 \log^2 n)$  which colors every member from  $\text{Forb}(n, F)$  properly.*

Together with (1) we immediately derive in a similar manner to Steger and Prömel [15] that one can color a 3-uniform hypergraph chosen uniformly at random from  $\mathcal{B}_n$  in polynomial expected time.

**Corollary 2.** *There is an algorithm with average running time  $O(n^5 \log^2 n)$  which finds a bipartition of every member from  $\mathcal{B}_n$ .*

The extended abstract of these results already appears in [14].

## 2. ALGORITHMIC VERSION OF THE WEAK HYPERGRAPH REGULARITY LEMMA

In [13] we used the so-called weak hypergraph regularity lemma in conjunction with the recently discovered counting lemma for linear hypergraphs from [9]. A hypergraph is *linear* if any pair of hyperedges shares at most one vertex. Since the Fano plane is linear, this counting lemma is applicable for it.

Throughout this paper we will consider only 3-uniform hypergraphs and by a hypergraph we will always mean a 3-uniform hypergraph. For the sake of a simpler notation we set

$$\mathcal{F}_n = \text{Forb}(n, F),$$

where by  $F$  we will always denote the hypergraph of the Fano plane. We will refer to hypergraphs not containing a copy of  $F$ , as Fano-free hypergraphs.

For a hypergraph  $H = (V, E)$  and a set  $U \subseteq V$  we denote by  $H[U]$  the  $k$ -uniform subhypergraph of  $H$  induced on  $U$ . We write  $E_H(U)$  or simply  $E(U)$  for the edges completely contained in  $U$ , i.e.,  $E_H(U) = E \cap \binom{U}{3}$ . We let  $e_H(U)$  (resp.  $e(U)$ ) denote the cardinality of  $E_H(U)$ . Similarly, for two disjoint subsets  $U$  and  $W$  we write

$$\begin{aligned} E(U, W) &= E(U \cup W) \setminus (E(U) \cup E(W)) \\ &= \{e \in E : e \subset U \dot{\cup} W, |e \cap U| |e \cap W| \geq 1\} \end{aligned}$$

and  $e(U, W) = |E(U, W)|$ . For pairwise disjoint sets  $V_1, V_2$ , and  $V_3$  denote by  $E_H(V_1, V_2, V_3)$  the set of all hyperedges from  $H$  that intersect all three sets, further set  $e_H(V_1, V_2, V_3) = |E_H(V_1, V_2, V_3)|$

The weak hypergraph regularity lemma is a straightforward extension of Szemerédi's regularity lemma [16] for graphs. Here we introduce it only for 3-uniform hypergraphs, but a similar lemma holds for general  $k$ . Let  $H = (V, E)$  be a hypergraph and let  $W_1, W_2, W_3$  be pairwise disjoint non-empty subsets of  $V$ . We denote by  $d_H(W_1, W_2, W_3) = d(W_1, W_2, W_3)$  the *density* of the 3-partite induced subhypergraph  $H[W_1, W_2, W_3]$  of  $H$ , defined by

$$d_H(W_1, W_2, W_3) = \frac{e_H(W_1, W_2, W_3)}{|W_1||W_2||W_3|}.$$

We say the triple  $(V_1, V_2, V_3)$  of pairwise disjoint subsets  $V_1, V_2, V_3 \subseteq V$  is  $(\varepsilon, d)$ -regular, for  $\varepsilon > 0$  and  $d \geq 0$ , if

$$|d_H(W_1, W_2, W_3) - d| \leq \varepsilon$$

for all triples of subsets  $W_1 \subseteq V_1, W_2 \subseteq V_2, W_3 \subseteq V_3$  satisfying  $|W_i| \geq \varepsilon|V_i|$ ,  $i = 1, 2, 3$ . We say the triple  $(V_1, V_2, V_3)$  is  $\varepsilon$ -regular if it is  $(\varepsilon, d)$ -regular for some  $d \geq 0$ . An  $\varepsilon$ -regular partition of a set  $V(H)$  has the following properties:

- (i)  $V = V_1 \dot{\cup} \dots \dot{\cup} V_t$
- (ii)  $||V_i| - |V_j|| \leq 1$  for all  $1 \leq i, j \leq t$ ,
- (iii) for all but at most  $\varepsilon \binom{t}{3}$  sets  $\{i_1, i_2, i_3\} \subseteq [t]$ , the triple  $(V_{i_1}, V_{i_2}, V_{i_3})$  is  $\varepsilon$ -regular.

We will apply an algorithmic version of the weak hypergraph regularity lemma which was established by Czygrinow and Rödl [2] and in a slightly adjusted form for our needs, it will be used here to analyze our coloring algorithm  $\text{Color}(H)$ , that will be introduced in the next section:

**Theorem 3** (Algorithmic weak regularity lemma). *For every integer  $t_0 \geq 1$  and every  $\varepsilon > 0$ , there exist  $T_0 = T_0(t_0, \varepsilon)$ ,  $n_0 = n_0(t_0, \varepsilon)$  and an algorithm  $\text{Regularize}$ , which for every hypergraph  $H = (V, E)$  on  $n \geq n_0$  vertices finds in  $O(n^5 \log^2 n)$  time an  $\varepsilon$ -regular partition  $V = V_1 \dot{\cup} \dots \dot{\cup} V_t$  with  $t_0 \leq t \leq T_0$ .*

Typically, when studying the regular partition of a hypergraph, one defines a new hypergraph of bounded size with the vertex set being the partition classes and the edge set being  $\varepsilon$ -regular triples with sufficient density. Our algorithm will also work with such cluster-hypergraphs. The following definition makes this precise.

**Definition 4.** *For a hypergraph  $H = (V, E)$  with an  $\varepsilon$ -regular partition  $V(H) = V_1 \dot{\cup} \dots \dot{\cup} V_t$  of its vertex set and an  $\eta > 0$  let  $H(\eta) = (V^*, E^*)$  be the cluster-hypergraph with vertex set  $V^* = \{1, \dots, t\}$  and edge set  $E^*$ , where for  $1 \leq i < j < k \leq t$  it is  $\{i, j, k\} \in E^*$  if and only if the triple  $(V_i, V_j, V_k)$  is  $\varepsilon$ -regular and the density satisfies  $d_H(V_i, V_j, V_k) \geq \eta$ .*

In [9] a counting lemma for linear hypergraphs in the context of the weak regularity lemma was proved. In [13] we noted that the same proof also holds for the lower bound and for a slightly relaxed definition of an  $\varepsilon$ -regular triple. More precisely, we say a triple  $(V_1, V_2, V_3)$  of pairwise disjoint subsets  $V_1, V_2, V_3 \subseteq V$  is *one-sided*  $(\varepsilon, d)$ -regular for  $\varepsilon > 0$  and  $d \geq 0$  if

$$d_H(W_1, W_2, W_3) \geq d$$

for all triples of subsets  $W_1 \subseteq V_1, W_2 \subseteq V_2, W_3 \subseteq V_3$  satisfying  $|W_i| \geq \varepsilon|V_i|$ ,  $i = 1, 2, 3$ . Note also, that an  $(\varepsilon, d)$ -regular triple is one-sided  $(\varepsilon, d - \varepsilon)$ -regular.

**Theorem 5** (Key-lemma, one-sided version). *For every  $\ell \in \mathbb{N}$  and  $d > 0$  there exist  $\varepsilon = \varepsilon(\ell, d) > 0$  and a positive integer  $m_0 = m_0(\ell, d)$  with the following property.*

*If  $H$  is an  $\ell$ -partite 3-uniform hypergraph with vertex classes  $V_1, \dots, V_\ell$ , such that  $|V_1| = \dots = |V_\ell| \geq m_0$ , and  $L$  is a linear hypergraph on  $\ell$  vertices such that for every  $e \in E(L)$  the triple  $(V_i)_{i \in e}$  is one-sided  $(\varepsilon, d)$ -regular. Then  $H$  contains a copy of  $L$ .*

For the proof of Theorem 5 see e.g. [9] or [13, Theorem 3.3].

### 3. ALGORITHM FOR COLORING FANO-FREE HYPERGRAPHS

Below we first present the simple algorithm  $\text{Color}(H)$  which will be based on the subroutine  $\text{Partition}(H, \alpha)$ .

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#### Algorithm 1: Color ( $H$ )

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**Input:**  $H$  from  $\text{Forb}(n, F)$

**Output:** Proper coloring of  $H$

- 1 Choose  $\alpha > 0$  appropriately (see Lemma 12);
  - 2  $(X, Y) \leftarrow \text{Partition}(H, \alpha)$ ;
  - 3 **if**  $e(X) + e(Y) = 0$  **then**
  - 4 |   output 2-coloring corresponding to  $(X, Y)$ ;
  - 5 **else**
  - 6 |   try all  $n^n = 2^{n \log_2 n}$  possible colorings and output the one that minimizes the number of colors used;
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Obviously,  $\text{Color}(H)$  finds a proper coloring of  $H$ . We will show that Step 2 has a running time of  $O(n^5 \log^2 n)$  for all  $H$ . Hence for proving Theorem 1 it suffices to show that there exists an  $\alpha > 0$  such that Step 5 of the algorithm will be executed for at most  $2^{-n \log_2 n} |\text{Forb}(n, F)|$  3-uniform hypergraphs from  $\text{Forb}(n, F)$ .

The subroutine  $\text{Partition}(H, \alpha)$  finds a *locally minimal* partition  $X_H \dot{\cup} Y_H = V(H)$ , i.e., a partition for which  $e(X_H) + e(Y_H)$  cannot be decreased by moving a single vertex from one class to another. Moreover, we will show later that for “most” 3-uniform hypergraphs  $H$  from  $\text{Forb}(n, F)$  the algorithm  $\text{Partition}(H, \alpha)$  outputs a partition with the additional property  $e(X_H) + e(Y_H) < \alpha n^3$ .

In Step 2 the algorithm  $\text{Regularize}(H, \varepsilon, t)$  will be used as a subroutine. This algorithm, due to Czygrinow and Rödl [2], finds an  $\varepsilon$ -regular partition of a 3-uniform hypergraph  $H$  on  $n$  vertices and at least  $t_0$  many clusters in time  $O(n^5 \log^2 n)$ . Step 4 requires only constant time, that depends on  $\varepsilon$  only. The “while”-loop also requires at most  $O(n^5)$  steps, as the update step requires at most  $O(n^2)$  operations and the “while”-loop terminates after at most  $\binom{n}{3}$  executions. Indeed, in every loop we increase the cut and there are at most  $\binom{n}{3}$  hyperedges in  $H$ .

### 4. FURTHER TOOLS

In the following we will introduce more notations and basic facts before we start with the analysis of the algorithm  $\text{Color}(H)$ . We recall the result of Füredi

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**Algorithm 2:** Partition  $(H, \alpha)$

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**Input:**  $H \in \text{Forb}(n, F)$ ,  $\alpha > 0$

**Output:** Locally minimal vertex partition of  $H$ :  $V = X_H \dot{\cup} Y_H$

- 1 Choose  $\varepsilon := \varepsilon(\alpha)$  and  $\eta := \eta(\alpha)$  appropriately (see Lemma 8);
  - 2 Apply  $\text{Regularize}(H, \varepsilon, \lceil 1/\varepsilon \rceil)$  and obtain an  $\varepsilon$ -regular partition  $V_1, \dots, V_t$ ;
  - 3 Define cluster hypergraph  $H(\eta)$  with densities at least  $\eta$ ;
  - 4 Find partition  $A \dot{\cup} B$  of  $V(H(\eta))$  which minimizes  $e_{H(\eta)}(A) + e_{H(\eta)}(B)$ ;
  - 5 Set  $W_1 := \cup_{a \in A} V_a$  and  $W_2 := \cup_{b \in B} V_b$ ;
  - 6 **while**  $\exists w \in W_i$  such that  $\deg_{W_i}(w) > \deg_{W_{[2] \setminus \{i\}}}(w)$  **do**
  - 7      $\lfloor$  move  $w$  to  $W_{[2] \setminus \{i\}}$ ;
  - 8 **Output**  $(W_1, W_2)$ ;
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and Simonovits [5] and Keevash and Sudakov [8] on the extremal number for the hypergraph of the Fano plane  $F$  asserts for sufficiently large  $n$ :

$$\begin{aligned} \text{ex}(n, F) = e(B_n) = |E_{B_n}| &= \binom{n}{3} - \binom{\lceil n/2 \rceil}{3} - \binom{\lfloor n/2 \rfloor}{3} \\ &= \frac{n^3}{8} - \frac{n^2}{4} - O(n) \leq \frac{n^3}{8}. \end{aligned}$$

The following simple bounds on  $|\mathcal{B}_n|$  will be used:

$$2^{e(B_n)} \leq |\mathcal{B}_n| \leq 2^n \cdot 2^{e(B_n)}. \quad (2)$$

Indeed there are at most  $2^n$  partitions of  $[n]$  in two disjoint sets and there are at most  $e(B_n)$  hyperedges running between those two sets.

The following holds for the Fano-extremal hypergraph  $B_n$ :

$$\delta_1(B_n) = \left( \left\lceil \frac{n}{2} \right\rceil - 1 \right) \left\lfloor \frac{n}{2} \right\rfloor + \binom{\lfloor n/2 \rfloor}{2} \geq \frac{3}{8}n^2 - n, \quad (3)$$

where for a hypergraph  $H = (V, E)$  we denote by  $\delta_1(H)$  the minimum vertex degree, i.e.,

$$\delta_1(H) = \min_{u \in V} |\{ \{v, w\} : \{u, v, w\} \in E \}|,$$

while setting

$$\Delta(H) = \max_{u \in V} |\{ \{v, w\} : \{u, v, w\} \in E \}|$$

to be the maximum degree of  $H$ . Furthermore, we have

$$e(B_n) = e(B_{n-3}) + \delta_1(B_n) + \delta_1(B_{n-1}) + \delta_1(B_{n-2}).$$

For a given hypergraph  $H = (V, E)$  and a vertex  $v \in V$  we define its link  $L_H(v) = (V \setminus \{v\}, E_v)$  to be the graph whose edges together with  $v$  form hyperedges of  $H$ , namely

$$E_v = \{ \{u, w\} : \{v, u, w\} \in E \}.$$

We define the degree of  $v \in V$  to be  $\deg(v) = \deg_H(v) = |E(L_H(v))|$ , and sometimes we omit  $H$  when it is clear from the context. For  $A \subseteq V(H)$  and  $v \in V(H)$  denote by

$$L_A(v) = L_H(v)[A] = (A \setminus \{v\}, E_v \cap \binom{A}{2})$$

the link of  $v$  induced on  $A$ .

The following stability result for Fano-free hypergraphs was proved by Keevash and Sudakov [8] and Füredi and Simonovits [5], in order to determine the extremal hypergraph for the Fano plane:

**Theorem 6** (Stability theorem for Fano-free hypergraphs). *For every  $\alpha > 0$  there exists  $\lambda > 0$  and  $n_0$  such that for every Fano-free hypergraph  $H$  on  $n \geq n_0$  vertices with at least  $(\frac{1}{8} - \lambda)n^3$  hyperedges there exists a partition  $V(H) = X \dot{\cup} Y$  so that  $e(X) + e(Y) < \alpha n^3$ .*

It follows from the work in [5, 8] that  $\lambda = \lambda(\alpha)$  is indeed a computable function.

## 5. PROOF OF MAIN RESULT

**5.1. Overview of the proof.** So far we have presented our coloring algorithm  $\text{Color}(H)$  and it is left to show that there exist appropriate choices for  $\alpha$  and for  $\varepsilon$  and  $\eta$  inside the subroutine  $\text{Partition}(H, \alpha)$  which yield the claimed running time. More precisely, we will show that for sufficiently small  $\alpha, \varepsilon$  and  $\eta$  the proportion of hypergraphs  $H$  in  $\text{Forb}(n, F)$  for which Step 6 in  $\text{Color}(H)$  is required, is “negligible”.

In the main part of the proof we show that there are at most  $2^{-\Omega(n^2)} |\text{Forb}(n, F)|$  such hypergraphs in  $\text{Forb}(n, F)$ . To prove this, we study structural properties of a typical  $H$  from  $\text{Forb}(n, F)$ . Our analysis uses ideas from [13]. We will introduce a chain of subsets of  $\text{Forb}(n, F)$  such that all members of them possess certain “typical” properties. More precisely, we study the following chains of subsets of  $\mathcal{F}_n$ :

$$\mathcal{F}_n \supseteq \mathcal{F}'_n(\alpha) \supseteq \mathcal{F}''_n(\alpha, \beta) \supseteq \mathcal{F}'''_n(\alpha, \beta),$$

and

$$\mathcal{F}_n \supseteq \mathcal{B}_n \supseteq \mathcal{F}''''_n(\alpha, \beta).$$

The first subset  $\mathcal{F}'_n(\alpha)$  consists of those members, that admit at least one locally minimal partition  $(X_H, Y_H)$  with the properties  $e(X_H) + e(Y_H) < \alpha n^3$  and  $|X_H| \approx |Y_H|$ . Using the weak hypergraph regularity lemma and Theorem 6, it can be shown that for most of the members from  $\mathcal{F}'_n(\alpha)$  the algorithm  $\text{Partition}(H, \alpha)$  finds a locally minimal partition for given  $\alpha$ . Therefore, additionally, we obtain that most of the hypergraphs from  $\text{Forb}(n, F)$  lie in  $\mathcal{F}'_n(\alpha)$ .

The further analysis proceeds as follows. We introduce two more proper subsets of  $\text{Forb}(n, F)$ :  $\mathcal{F}''_n(\alpha, \beta)$  and  $\mathcal{F}'''_n(\alpha, \beta)$  which describe two further “useful” properties of almost all Fano-free hypergraphs on  $n$  vertices. The family  $\mathcal{F}''_n(\alpha, \beta)$  contains those members from  $\mathcal{F}'_n(\alpha)$  which are “dense everywhere” in the sense that whenever we take three disjoint subsets of vertices, say  $W_1, W_2, W_3$ , not all of them contained in  $X_H$  or  $Y_H$  (for *any* locally minimal partition satisfying properties from  $\mathcal{F}'_n(\alpha)$ ), the number of hyperedges that run between them will be at least  $d|W_1||W_2||W_3|$  for some positive constant  $d > 0$ . Moreover, every vertex will have small degree in its own partition class (i.e.  $X_H$  or  $Y_H$ ). Thus, essentially, there exists “only one” locally minimal partition. For members of the last class  $\mathcal{F}''''_n(\alpha, \beta)$  we demand that the joint link of every set of 3 vertices of any of the two partition classes  $X_H$  and  $Y_H$  must contain a  $K_4$ . We then deduce that the last property implies in fact bipartiteness. As a seemingly surprising fact, we obtain, that for almost all members from  $\text{Forb}(n, F)$  *any* locally minimal partition for some appropriate  $\alpha$  already satisfies  $e(X_H) + e(Y_H) = 0$ .

**5.2. Proof of Theorem 1.** Below we give proper definitions of the classes described above and we state the lemmas that relate the sizes of these hypergraph classes. The proofs of the corresponding statements are given in the next section, Section 6. First we recall the definition of a *locally minimal* partition. A vertex partition  $X_H \dot{\cup} Y_H$  of  $V(H)$  is locally minimal if  $e(X', Y') \geq e(X_H, Y_H)$  for all partitions  $X' \dot{\cup} Y'$  of  $V(H)$  with  $|X' \Delta X_H| \leq 1$ . Furthermore, we say a partition is  $\alpha$ -good, if  $e(X_H) + e(Y_H) < \alpha n^3$  and  $|X_H|, |Y_H| < n/2 + 2\sqrt{h(6\alpha)n}$ . The first class  $\mathcal{F}'_n(\alpha)$  of Fano-free hypergraphs is defined as follows.

**Definition 7.** Let  $\alpha > 0$  and  $n \in \mathbb{N}$ . We set

$$\mathcal{F}'_n(\alpha) = \{H \in \mathcal{F}_n : \exists \text{ a locally minimal } \alpha\text{-good partition } V = X_H \dot{\cup} Y_H\}.$$

**Lemma 8.** For every  $\alpha \in (0, \frac{1}{12})$  there exist (computable) constants  $c', \varepsilon, \eta > 0$  and an integer  $n'_0$  such that for all  $n \geq n'_0$  the algorithm  $\text{Partition}(H, \alpha)$  finds for all but at most  $2^{e(B_n) - c'n^3}$  hypergraphs  $H \in \mathcal{F}_n$  a locally minimal partition  $X_H \dot{\cup} Y_H$  of its vertex set with the following two properties:

- $e(X_H) + e(Y_H) < \alpha n^3$ ,
- $|X_H|, |Y_H| < n/2 + 2\sqrt{h(6\alpha)n}$ .

In particular, we have:

$$|\mathcal{F}_n \setminus \mathcal{F}'_n(\alpha)| < 2^{e(B_n) - c'n^3}.$$

Next we define the subfamily of “everywhere dense” hypergraphs from  $\mathcal{F}'_n(\alpha)$ .

**Definition 9.** For  $\alpha, \beta > 0$  and  $n \in \mathbb{N}$  let  $\mathcal{F}''_n(\alpha, \beta)$  denote the family of those hypergraphs  $H \in \mathcal{F}'_n(\alpha)$ , such that for any locally minimal  $\alpha$ -good partition  $X_H \dot{\cup} Y_H$  of  $V(H)$  the following condition holds.

For any pairwise disjoint sets  $W_1 \subset X_H$ ,  $W_2 \subset Y_H$  and  $W_3 \subset Z_H$ , where  $Z_H \in \{X_H, Y_H\}$ , with  $|W_i| \geq \beta n$  for  $i = 1, 2, 3$  we have

$$e_H(W_1, W_2, W_3) \geq \frac{1}{4}|W_1||W_2||W_3|.$$

The proof of the following lemma follows the lines of the proofs of Lemma 4.2 and 4.3 in [13] and we only give a sketch of this proof below.

**Lemma 10.** For every  $\gamma > 0$  there exist (computable) constants  $\alpha, \beta, c'' > 0$  and an integer  $n_0$  such that for every  $n \geq n_0$  and  $H \in \mathcal{F}''_n(\alpha, \beta)$ , we have:

- $\max\{\Delta(H[X_H]), \Delta(H[Y_H])\} < \gamma n^2$  for any locally minimal  $\alpha$ -good partition  $X_H \dot{\cup} Y_H$ ,
- $|\mathcal{F}'_n(\alpha) \setminus \mathcal{F}''_n(\alpha, \beta)| < 2^{e(B_n) - c''n^3}$ .

*Proof (sketch).* To show the first property one simply regularizes the link of a vertex of high degree with the Szemerédi regularity lemma for graphs [16]. Inside the classes  $X_H$  and  $Y_H$  one then identifies  $(\varepsilon', d')$ -regular pairs for appropriate  $\varepsilon' = \varepsilon'(\gamma)$  and  $d' = d'(\gamma)$ , and together with the fact that  $(X_H, Y_H)$  is dense everywhere one can find a copy of the Fano plane by appealing to the Key-Lemma, Theorem 5.

The second part follows from a simple counting argument or, alternatively, from Chernoff’s estimate (see, e.g. [13, Lemma 4.3]).  $\square$

We finally define the last subclass of Fano-free hypergraphs.

**Definition 11.** For  $\alpha, \beta > 0$  and  $n \in \mathbb{N}$ , let  $\mathcal{F}_n'''(\alpha, \beta)$  denote the family of those hypergraphs  $H \in \mathcal{F}_n''(\alpha, \beta)$ , such that for any locally minimal  $\alpha$ -good partition  $X_H \dot{\cup} Y_H$  of  $V(H)$  the following holds.

For all triples  $z_1, z_2, z_3 \in Z$  of vertices with  $Z \in \{X_H, Y_H\}$  we have  $L_Q(z_1) \cap L_Q(z_2) \cap L_Q(z_3) \supseteq K_4$ , where  $\{Q, Z\} = \{X_H, Y_H\}$ . In other words, we require that the common link of any triple from  $X_H$  or  $Y_H$  contains a copy of  $K_4$  in the other vertex class.

It follows directly from the definition, that every  $H \in \mathcal{F}_n'''(\alpha, \beta)$  is bipartite, i.e.,  $\mathcal{F}_n'''(\alpha, \beta) \subseteq \mathcal{B}_n$ . Otherwise, any hyperedge  $e$ , say in  $X_H$ , together with the  $K_4$  in  $Y_H$ , which lies in the common link of the vertices of  $e$  would span a copy of the hypergraph of the Fano plane. We also note that we could replace  $K_4$  in the definition of  $\mathcal{F}_n'''(\alpha, \beta)$  by a 1-factor of  $K_4$  that is created by the union of the links of any three vertices.

To obtain a bound on  $|\mathcal{F}_n''(\alpha, \beta) \setminus \mathcal{F}_n'''(\alpha, \beta)|$  we estimate in at most how many ways one can construct a Fano-free hypergraph from  $\mathcal{F}_n''(\alpha, \beta) \setminus \mathcal{F}_n'''(\alpha, \beta)$ , i.e. a Fano-free hypergraph with a locally minimal partition and a hyperedge inside it.

**Lemma 12.** *There exist (computable) constants  $\alpha, \beta, c > 0$  and an integer  $n_0$ , such that for every  $n \geq n_0$  we have*

$$|\mathcal{F}_n''(\alpha) \setminus \mathcal{F}_n'''(\alpha, \beta)| \leq 2^{e(B_n) - cn^2}.$$

We remark that the bound in Lemma 12 is considerably weaker as those in Lemma 10 and Lemma 8 having only  $-cn^2$  in the exponent instead of  $-cn^3$ .

*Proof of Theorem 1.* We first apply Lemma 12 and obtain constants  $\alpha, \beta$  and  $c$ . Then Lemma 8 applied with  $\alpha$  returns  $\varepsilon, \eta$  and  $c'$ . Below we show that these constants  $\alpha, \varepsilon$  and  $\eta$  are suitable choices in the algorithms Color and Partition.

Indeed for these choices of  $\alpha, \varepsilon$  and  $\eta$  Lemma 8 asserts that the partition  $X_H \dot{\cup} Y_H$  provided by  $\text{Partition}(H, \alpha)$  is locally minimal and  $\alpha$ -good for all but  $2^{e(B_n) - c'n^3}$  hypergraphs  $H \in \mathcal{F}_n$ . Moreover, due to Lemma 12 this partition satisfies  $e(X_H) + e(Y_H) = 0$  for all  $H \in \mathcal{F}_n'''(\alpha, \beta)$ , i.e. this partition is a correct 2-coloring of  $H$ . Finally, it follows from Lemma 8 and Lemma 12 that Step 6 is only considered for at most

$$2^{e(B_n) - c'n^3} + 2^{e(B_n) - cn^2}$$

Fano-free hypergraphs. □

## 6. PROOFS OF LEMMAS 8 AND 12

By  $h(x) = -x \log x - (1-x) \log(1-x)$  we denote the entropy function, and by  $\log$  we always mean  $\log_2$ . Note further,  $h(x) \rightarrow 0$  as  $x$  tends to 0, and  $h(x) \geq x$  for  $x \leq \frac{1}{2}$ . We will use the entropy function  $h(x)$  together with the well-known inequality

$$\binom{n}{xn} \leq 2^{h(x)n},$$

which holds for  $0 < x < 1$ . Furthermore, we will use that for  $n > 3k$  we have  $\sum_{j < k} \binom{n}{j} < \binom{n}{k}$ . Often we will omit floors and ceilings, as they will have no effect on our asymptotic arguments.

*Proof of Lemma 8.* The proof of Lemma 8 combines the weak hypergraph regularity lemma with the stability theorem for Fano-free hypergraphs applied to the reduced hypergraph.

Let  $\lambda = \lambda(\alpha/2)$  and  $n_0(\alpha/2)$  be given by Theorem 6. We may assume  $\lambda < 16h(6\alpha)$ . We set

$$c' = \frac{\lambda}{17},$$

and we choose  $\eta$  such that  $\lambda > (16/3)h(6\eta)$  and  $\eta \leq \alpha/2$ . Finally let  $\varepsilon = \varepsilon(\eta/2) \leq \eta/2$  be given by Theorem 5. Set  $t_0 = \max\{1/\varepsilon, n_0(\alpha/2)\}$  and let  $n$  be sufficiently large, in particular, set  $n'_0 \gg \max\{T_0, n_0\}$ , where  $T_0$  and  $n_0$  are given by the weak regularity lemma, Theorem 3. For the main steps of the proof it is sufficient to keep in mind that

$$0 < 1/t_0 \leq \varepsilon \leq \eta \ll \lambda \ll \alpha.$$

We may assume in the following that  $t$  divides  $n$ , and thus  $|V_i| = n/t$  for all  $i = 1, \dots, t$ .

We will upper bound the number of hypergraphs for which  $\text{Partition}(H, \alpha)$  fails to produce a locally minimal  $\alpha$ -good partition in two steps. More formally, we consider the subset  $\tilde{\mathcal{F}}'_n(\alpha)$  which consists of those hypergraphs  $H$  from  $\mathcal{F}_n$ , for which  $\text{Partition}(H, \alpha)$  returns a locally minimal  $\alpha$ -good partition. Thus, our aim is to show that  $|\mathcal{F}_n \setminus \tilde{\mathcal{F}}'_n(\alpha)|$  is at most  $2^{e(B_n) - c'n^3}$ . Our proof has two steps.

In the first step we bound the number of hypergraphs  $H$  that have  $e(X_H) + e(Y_H) \geq \alpha n^3$  for every locally minimal partition  $(X_H, Y_H)$ . In the second step we show that most of the hypergraphs  $H$  every locally minimal partition  $X_H \dot{\cup} Y_H$  with  $e(X_H) + e(Y_H) < \alpha n^3$  will also satisfy:

$$\max\{|X_H|, |Y_H|\} < \frac{n}{2} + 2\sqrt{h(6\alpha)n}.$$

Here and in the following  $(X_H, Y_H)$  will stand for a locally minimal partition, and unless it is specified otherwise, it will stand for an arbitrary locally minimal partition.

**Step 1.** Consider a hypergraph  $H \in \mathcal{F}_n$  satisfying

$$e(X_H) + e(Y_H) \geq \alpha n^3 \tag{4}$$

for every locally minimal partition. We apply the weak regularity lemma, Theorem 3, with parameters  $\varepsilon$  and  $t_0$ . Firstly, we estimate the number of hyperedges, which are contained in the “uncontrolled” part of the regular partition:

- the number of hyperedges intersecting at most two of the clusters is at most

$$t \binom{n/t}{2} n < \frac{1}{2t} n^3,$$

- the number of hyperedges contained in irregular triples is at most

$$\varepsilon \binom{t}{3} \left(\frac{n}{t}\right)^3 < \frac{\varepsilon}{6} n^3,$$

- the number of hyperedges that are contained in  $\varepsilon$ -regular triples of density less than  $\eta$  is at most

$$\eta \left(\frac{n}{t}\right)^3 \binom{t}{3} < \frac{\eta}{6} n^3.$$

Thus, the number of discarded edges is less than  $\eta n^3$ .

Secondly, consider the resulting cluster-hypergraph  $H(\eta)$ . It must be Fano-free as otherwise Theorem 5 would imply that  $H$  also contains a copy of the hypergraph of the Fano plane. We assumed that  $e(X_H) + e(Y_H) \geq \alpha n^3$ , so we can bound the number of hyperedges in  $H(\eta)$  from above by  $(1 - \lambda)t^3/8$ . Otherwise, Theorem 6 would yield the existense of a partition of  $V_1, \dots, V_t$  into disjoint sets  $X$  and  $Y$  with  $e_{H(\eta)}(X) + e_{H(\eta)}(Y) < \alpha t^3/2$ . Defining a partition of  $V(H)$  into the following two sets

$$A = \bigcup_{U \in X} U \quad \text{and} \quad B = \bigcup_{W \in Y} W,$$

with

$$e_H(A) + e_H(B) < \eta n^3 + \frac{\alpha}{2} t^3 \left(\frac{n}{t}\right)^3 \leq \alpha n^3,$$

which yields a contradiction to  $e(X_H) + e(Y_H) \geq \alpha n^3$ . Note that shifting vertices until a *locally minimal* partition is found only decreases  $e(X_H) + e(Y_H)$ .

Now we are able to bound the number of hypergraphs  $H \in \mathcal{F}_n$  with  $e(X_H) + e(Y_H) \geq \alpha n^3$  for every locally minimal partition  $X_H \dot{\cup} Y_H$  from above by calculating the total possible number of  $\varepsilon$ -regular partitions together with all possible cluster-hypergraphs associated with them and all possible hypergraphs that could give rise to such a particular cluster-hypergraph. This way we get at most

$$\begin{aligned} \sum_{t=t_0}^{T_0} t^n \cdot 2^{\binom{t}{3}} \cdot 2^{(1-\lambda)\frac{t^3}{8}\left(\frac{n}{t}\right)^3} \cdot \left( \sum_{j=0}^{\eta n^3 - 1} \binom{\binom{n}{3}}{j} \right) &\leq T_0^{n+1} \cdot 2^{\binom{T_0}{3}} \cdot 2^{(1-\lambda)n^3/8} \cdot \binom{\binom{n}{3}}{\eta n^3} \\ &\leq 2^{(n+1)\log T_0 + \binom{T_0}{3} + n^3/8 - \lambda n^3/8 + h(6\eta)n^3/6} < 2^{n^3/8 - \lambda n^3/16}, \end{aligned}$$

hypergraphs with property (4) for sufficiently large  $n$ , due to the choice of  $\eta$ .

**Step 2.** We now estimate the number of those hypergraphs  $H$  which have a locally minimal partition  $(X_H, Y_H)$  with  $e(X_H) + e(Y_H) < \alpha n^3$ , but  $\max\{|X_H|, |Y_H|\} \geq n/2 + 2\sqrt{h(6\alpha)n}$ . First we upper bound  $e(X_H, Y_H)$  for such a hypergraph  $H$  by

$$\begin{aligned} e(X_H, Y_H) &\leq |X_H| \binom{|Y_H|}{2} + |Y_H| \binom{|X_H|}{2} \\ &< \frac{n}{2} |X_H| |Y_H| < \frac{n^3}{8} - 2h(6\alpha)n^3. \end{aligned}$$

Note that there are at most  $2^n$  possible partitions of  $V(H)$ , and since less than  $\alpha n^3$  hyperedges are completely contained in  $X_H$  and  $Y_H$ , those hyperedges can be chosen in at most

$$\sum_{i=0}^{\alpha n^3 - 1} \binom{\binom{n}{3}}{i} \leq \binom{\binom{n}{3}}{\alpha n^3}$$

ways. Finally, as we assumed that our partitions are ‘‘unbalanced’’ we estimate the number of possible choices of hyperedges between  $X_H$  and  $Y_H$  by  $2^{n^3/8 - 2h(6\alpha)n^3}$ . Altogether we get, that there are at most

$$2^n \cdot \binom{\binom{n}{3}}{\alpha n^3} \cdot 2^{n^3/8 - 2h(6\alpha)n^3} \leq 2^{n+h(6\alpha)n^3/6 + n^3/8 - 2h(6\alpha)n^3} \leq 2^{n^3/8 - h(6\alpha)n^3}$$

hypergraphs  $H$  with  $e(X_H) + e(Y_H) < \alpha n^3$  and

$$\max\{|X_H|, |Y_H|\} \geq \frac{n}{2} + 2\sqrt{h(6\alpha)n}.$$

Combining Step 1 and 2 we obtain:

$$|\mathcal{F}_n \setminus \mathcal{F}'_n(\alpha)| \leq |\mathcal{F}_n \setminus \tilde{\mathcal{F}}'_n(\alpha)| \leq 2^{n^3/8 - \lambda n^3/16} + 2^{n^3/8 - h(6\alpha)n^3} < 2^{n^3/8 - \lambda n^3/16 + 1},$$

since  $h(6\alpha) > \lambda/16$ . Due to  $n^3/8 - e(B_n) \leq n^2/4 + O(n)$  and the choice of  $c' = \lambda/17$ , the lemma follows for sufficiently large  $n$ .  $\square$

For the proof of Lemma 12 we will use the following lemma shown in [13], which is a straightforward consequence of Janson's inequality [7].

**Lemma 13.** *The probability that the binomial random graph  $\mathcal{G}(m, \frac{1}{8})$  with  $m \geq 253$  vertices and edge probability  $1/8$  does not contain a copy of  $K_4$  is bounded from above by  $\exp(-2^{-11}m^2)$ .  $\square$*

*Proof of Lemma 12.* We set

$$\vartheta = 2^{-17} \quad \text{and} \quad c = \frac{\vartheta}{4} \tag{5}$$

and choose  $\gamma > 0$  such that

$$3h(2\gamma) < \vartheta. \tag{6}$$

Let  $\alpha$  and  $\beta > 0$  be given by Lemma 10. We may also assume that

$$3\sqrt{h(6\alpha)} + 6h(6\alpha) < \vartheta/2, \tag{7}$$

as choosing  $\alpha$  smaller we will only have to eventually increase  $n_0$ . Again, it is sufficient to keep in mind that

$$0 < \alpha, \beta \ll \gamma \ll \vartheta = 2^{-17}.$$

Due to Lemma 10 we have

$$|\mathcal{F}'_n(\alpha) \setminus \mathcal{F}''_n(\alpha, \beta)| \leq 2^{e(B_n) - c'n^3}, \tag{8}$$

and we estimate  $|\mathcal{F}''_n(\alpha, \beta) \setminus \mathcal{F}'''_n(\alpha, \beta)|$  now.

Let  $H \in \mathcal{F}''_n(\alpha, \beta) \setminus \mathcal{F}'''_n(\alpha, \beta)$  and  $X_H \dot{\cup} Y_H$  be an arbitrary locally minimal  $\alpha$ -good partition. Consider a subset  $S \in \binom{X_H}{3} \dot{\cup} \binom{Y_H}{3}$ . Deleting  $S$  from  $V(H)$ , we obtain a Fano-free hypergraph  $H'$  on  $n - 3$  vertices, where  $V(H') = [n] \setminus S$ . Note that for every  $H \in \mathcal{F}''_n(\alpha, \beta) \setminus \mathcal{F}'''_n(\alpha, \beta)$  there exists a hypergraph  $H' \in \mathcal{F}_{n-3}$  such that  $H$  can be reconstructed from  $H'$  in the following way. For  $H' \in \mathcal{F}_{n-3}$  we choose a set  $S$  of 3 vertices, which we “connect” in an appropriate manner, so that the resulting hypergraph is in  $\mathcal{F}''_n(\alpha, \beta) \setminus \mathcal{F}'''_n(\alpha, \beta)$ .

We can choose the set  $S$ , the partition of  $H'$  and the set which contains  $S$  in at most

$$\binom{n}{3} 2^{n-3}$$

ways. Since  $H \in \mathcal{F}''_n(\alpha, \beta)$  we infer from Lemma 10 that every vertex in  $S$  has at most  $\gamma n^2$  neighbors in its own partition class. This again bounds the number of ways for choosing these hyperedges by

$$\left( \sum_{j=0}^{\gamma n^2 - 1} \binom{n}{j} \right)^3 \leq \binom{n}{\gamma n^2}^3.$$

For every vertex in  $S$  we have at most  $2^{n^2/4}$  possibilities for choosing edges with one more end in the same partition as  $S$  and the other end in the other partition class, this gives us at most

$$2^{3n^2/4}$$

ways to choose that type of hyperedges. The last estimate concerns the number of ways we can connect our triple  $S$  to the other partition class, say  $Y$ , without creating any single copy of  $K_4$ , which is contained in the joint link of the vertices from  $S$ . Here we use Lemma 13. For every vertex  $v$  in  $S$  we can choose its link graph  $L_Y(v)$  in at most  $2^{\binom{|Y|}{2}}$  ways. However, since the joint link of three vertices in  $S$  contains no  $K_4$ , we infer from Lemma 13, that there are at most

$$2^{3\binom{|Y|}{2}} \exp(-2^{-11}|Y|^2) < 2^{3\binom{|Y|}{2}-|Y|^2/2^{11}}$$

ways.

Combining the above estimates and

$$n/4 \leq |Y| \leq n/2 + 2\sqrt{h(6\alpha)n},$$

we obtain

$$\begin{aligned} & |\mathcal{F}_n''(\alpha, \beta) \setminus \mathcal{F}_n'''(\alpha, \beta)| \\ & \leq \binom{n}{3} 2^{n-3} \cdot \left(\frac{\binom{n}{2}}{\gamma n^2}\right)^3 2^{3n^2/4} 2^{3\binom{|Y|}{2}-|Y|^2/2^{11}} |\mathcal{F}_{n-3}| \\ & \stackrel{(7)}{\leq} 2^{3 \log n + n + 3h(2\gamma)n^2/2 + 9n^2/8 + \vartheta n^2/2 - n^2/2^{15}} |\mathcal{F}_{n-3}| \\ & \stackrel{(6)}{\leq} 2^{\delta_1(B_{n-2}) + \delta_1(B_{n-1}) + \delta_1(B_n) + \vartheta n^2 - n^2/2^{16}} |\mathcal{F}_{n-3}| \\ & \stackrel{(5)}{=} 2^{\delta_1(B_{n-2}) + \delta_1(B_{n-1}) + \delta_1(B_n) - \vartheta n^2} |\mathcal{F}_{n-3}| \\ & \stackrel{(6)}{\leq} 2^{-\vartheta n^2} \cdot 2^{n-2} \cdot |\mathcal{B}_n|. \end{aligned}$$

Since

$$|\mathcal{F}_n| \stackrel{(1)}{\leq} 2|\mathcal{B}_n| \leq 2^{e(B_n)+n+1},$$

it follows from (8), that

$$|\mathcal{F}_n'(\alpha) \setminus \mathcal{F}_n'''(\alpha, \beta)| \leq 2^{e(B_n)-cn^2}$$

due to the choice of  $c$ . □

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